

The following is an example of an acceptable property rights statement. Statements of this type are, of course, only suitable for situations in which NO Agency funds or other considerations were involved in the making or conception of the invention. While this example is in the form of a declaration, a sworn document is equally acceptable.

I (We) GERARDO ZAPATA

citizens of UNITED STATES OF AMERICA

residing at 1155 Pebble Spring Drive, Berwyn, PA 19312

declare:

That I (we) made and conceived the invention described and claimed in patent application:

Serial Number 09/234,182 filed in the United States of America on January 20, 1999
titled ANTIBODY FRAGMENT-POLYMER CONJUGATES AND HUMANIZED ANTI-TI-8 MONOCLONAL ANTIBODIES

(Check and complete either I or II below)

(Check III and/or IV below as appropriate)

☒ I. (For Inventors Employed by an Organization) That I (we) made and conceived this invention while employed by GENENTECH, INC. That the invention is related to the work I am (we are) employed to perform and was made within the scope of my (our) employment duties; That the invention was made during working hours and with the use of facilities, equipment, materials, funds, information and services of GENENTECH, INC. Other relevant facts are _____
(name of employer)

That to the best of my (our) knowledge and belief:

☒ III. The invention was not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy.

—AND/OR—

That to the best of my (our) knowledge and belief (and/or) based upon information provided by RICHARD B. LOVE of GENENTECH, INC.

☒ IV. The invention was not made (conceived or first actually reduced to practice) under nor is there any relationship of the invention to the performance of any work under any contract of the National Aeronautics and Space Administration.

☐ II. (For Self-Employed Inventors) That I (we) made and conceived this invention on my (our) own time using only my (our) own facilities, equipment, materials, funds, information and services. Other relevant facts are _____

The undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Inventor's Signature: Gerardo Zapata

Post Office Address: 1155 Pebble Spring Drive, Berwyn, PA 19312

Date: 11/14/2000

Inventor's Signature: _____

Post Office Address: _____

Date: _____

The following is an example of an acceptable property rights statement. Statements of this type are, of course, only suitable for situations in which NO Agency funds or other considerations were involved in the making or conception of the invention. While this example is in the form of a declaration, a sworn document is equally acceptable.

I (We) IPRIGENIA KONMENIS
citizens of UNITED STATES OF AMERICA
residing at 3421 York Road, Winston-Salem, North Carolina 24107
declare:

That I (we) made and conceived the invention described and claimed in patent application:

Serial Number 09/234,182 filed in the United States of America on January 20, 1999
titled ANTIBODY FRAGMENT-POLYMER CONJUGATES AND HUMANIZED ANTI-IL-8 MONOCLONAL ANTIBODIES

(Check and complete either I or II below)

(Check III and/or IV below as appropriate)

☒ I. (For Inventors Employed by an Organization) That I (we) made and conceived this invention while employed by GENENTECH, INC. That the invention is related to the work I am (we are) employed to perform and was made within the scope of my (our) employment duties; That the invention was made during working hours and with the use of facilities, equipment, materials, funds, information and services of GENENTECH, INC. Other relevant facts are _____
(name of employer)

That to the best of my (our) knowledge and belief:

☒ III. The invention was not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy.

—AND/OR—

☒ IV. The invention was not made (conceived or first actually reduced to practice) under nor is there any relationship of the invention to the performance of any work under any contract of the National Aeronautics and Space Administration.

That to the best of my (our) knowledge and belief (and/or) based upon information provided by RICHARD B. LOVE of GENENTECH, INC.:

—OR—

☐ II. (For Self-Employed Inventors) That I (we) made and conceived this invention on my (our) own time using only my (our) own facilities, equipment, materials, funds, information and services. Other relevant facts are _____

The undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Inventor's Signature: [Signature]

Post Office Address: 3421 York Road, Winston-Salem, North Carolina 24107 27104 JB

Date: 11/08/00 [Signature]

Inventor's Signature: _____

Post Office Address: _____

Date: _____

The following is an example of an acceptable property rights statement. Statements of this type are, of course, only suitable for situations in which NO Agency funds or other considerations were involved in the making or conception of the invention. While this example is in the form of a declaration, a sworn document is equally acceptable.

I (We) STEVEN LEONG

citizens of UNITED STATES OF AMERICA

residing at 1914 Eldorado Ave. Berkeley, California 94707

declare:

That I (we) made and conceived the invention described and claimed in patent application:

Serial Number 09/234,182

filed in the United States of America on January 20, 1999

titled ANTIBODY FRAGMENT-POLYMER CONJUGATES AND HUMANIZED ANTI-IL-8 MONOCLONAL ANTIBODIES

(Check and complete either I or II below)

(Check III and/or IV below as appropriate)

☒ I. (For Inventors Employed by an Organization) That I (we) made and conceived this invention while employed by GENENTECH, INC. That the invention is related to the work I am (we are) employed to perform and was made within the scope of my (our) employment duties; That the invention was made during working hours and with the use of facilities, equipment, materials, funds, information and services of GENENTECH, INC. Other relevant facts are _____
(name of employer)

That to the best of my (our) knowledge and belief:

☒ III. The invention was not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy.

—AND/OR—

That to the best of my (our) knowledge and belief (and/or) based upon information provided by RICHARD B. LOVE of GENENTECH, INC.

☒ IV. The invention was not made (conceived or first actually reduced to practice) under nor is there any relationship of the invention to the performance of any work under any contract of the National Aeronautics and Space Administration.

—OR—

☐ II. (For Self-Employed Inventors) That I (we) made and conceived this invention on my (our) own time using only my (our) own facilities, equipment, materials, funds, information and services. Other relevant facts are _____

The undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Inventor's Signature: Steven R. Leong

Post Office Address: 1914 Eldorado Ave, Berkeley, CA 94707

Date: Nov 9, 2000

Inventor's Signature: _____

Post Office Address: _____

Date: _____

The following is an example of an acceptable property rights statement. Statements of this type are, of course, only suitable for situations in which NO Agency funds or other considerations were involved in the making or conception of the invention. While this example is in the form of a declaration, a sworn document is equally acceptable.

I (We) LEONARD G. PRESTA
citizens of UNITED STATES OF AMERICA
residing at 1900 Gough Street, Apt. #206, San Francisco, California 94109
declare:
That I (we) made and conceived the invention described and claimed in patent application:

Serial Number 09/234,182 filed in the United States of America on January 20, 1999
titled ANTIBODY FRAGMENT-POLYMER CONJUGATES AND HUMANIZED ANTI-IL-8 MONOCLONAL ANTIBODIES

(Check and complete either I or II below)

(Check III and/or IV below as appropriate)

☒ I. (For Inventors Employed by an Organization) That I (we) made and conceived this invention while employed by GENENTECH, INC. That the invention is related to the work I am (we are) employed to perform and was made within the scope of my (our) employment duties; That the invention was made during working hours and with the use of facilities, equipment, materials, funds, information and services of GENENTECH, INC. Other relevant facts are _____
(name of employer)

That to the best of my (our) knowledge and belief:

☒ III. The invention was not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors, Energy Research and Development Administration or the Department of Energy.

—AND/OR—

☒ IV. The invention was not made (conceived or first actually reduced to practice) under nor is there any relationship of the invention to the performance of any work under any contract of the National Aeronautics and Space Administration.

That to the best of my (our) knowledge and belief (and/or) based upon information provided by RICHARD B. LOVE of GENENTECH, INC.:

—OR—

☐ II. (For Self-Employed Inventors) That I (we) made and conceived this invention on my (our) own time using only my (our) own facilities, equipment, materials, funds, information and services. Other relevant facts are _____

The undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Inventor's Signature: Leonard G. Presta

Post Office Address: 1900 Gough Street, Apt. #206, San Francisco, CA 94109

Date: Nov. 9, 2000

Inventor's Signature: _____

Post Office Address: _____

Date: _____

The following is an example of an acceptable property rights statement. Statements of this type are, of course, only suitable for situations in which NO Agency funds or other considerations were involved in the making or conception of the invention. While this example is in the form of a declaration, a sworn document is equally acceptable.

I (We) Vanessa Hsei

citizens of UNITED STATES OF AMERICA

residing at 5047 Capistrano Ave. San Jose, CA 95129

declare:

That I (we) made and conceived the invention described and claimed in patent application:

Serial Number 09/234,182 filed in the United States of America on January 20, 1999
titled ANTIBODY FRAGMENT-POLYMER CONJUGATES AND HUMANIZED ANTI-TL-8 MONOCLONAL ANTIBODIES

(Check and complete either I or II below)

(Check III and/or IV below as appropriate)

☒ I. (For Inventors Employed by an Organization) That I (we) made and conceived this invention while employed by GENENTECH, INC. That the invention is related to the work I am (we are) employed to perform and was made within the scope of my (our) employment duties; That the invention was made during working hours and with the use of facilities, equipment, materials, funds, information and services of GENENTECH, INC. Other relevant facts are _____
(name of employer)

That to the best of my (our) knowledge and belief (and/or) based upon information provided by RICHARD B. LOVE of GENENTECH, INC.

—OR—

☐ II. (For Self-Employed Inventors) That I (we) made and conceived this invention on my (our) own time using only my (our) own facilities, equipment, materials, funds, information and services. Other relevant facts are _____

That to the best of my (our) knowledge and belief:

☒ III. The invention was not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy.

—AND/OR—

☒ IV. The invention was not made (conceived or first actually reduced to practice) under nor is there any relationship of the invention to the performance of any work under any contract of the National Aeronautics and Space Administration.

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Inventor's Signature: Vanessa Hsei

Post Office Address: 5047 Capistrano Ave. San Jose, CA 95129

Date: 11/12/00

Inventor's Signature: _____

Post Office Address: _____

Date: _____

The following is an example of an acceptable property rights statement. Statements of this type are, of course, only suitable for situations in which NO Agency funds or other considerations were involved in the making or conception of the invention. While this example is in the form of a declaration, a sworn document is equally acceptable.

I (We) Zahra Shahrokh
 citizens of United States of America
 residing at 24 Sotelo Ave. San Francisco, CA 94116
 declare:

That I (we) made and conceived the invention described and claimed in patent application:

Serial Number 09/234,182 filed in the United States of America on January 20, 1999
 titled ANTIBODY FRAGMENT-POLYMER CONJUGATES AND HUMANIZED ANTI-IL-8 MONOCLONAL ANTIBODIES

(Check and complete either I or II below)

(Check III and/or IV below as appropriate)

☒ I. (For Inventors Employed by an Organization) That I (we) made and conceived this invention while employed by GENENTECH, Inc. That the invention is related to the work I am (we are) employed to perform and was made within the scope of my (our) employment duties; That the invention was made during working hours and with the use of facilities, equipment, materials, funds, information and services of GENENTECH, INC. Other relevant facts are _____

That to the best of my (our) knowledge and belief:

☒ III. The invention was not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy.

—AND/OR—

☒ IV. The invention was not made (conceived or first actually reduced to practice) under nor is there any relationship of the invention to the performance of any work under any contract of the National Aeronautics and Space Administration.

That to the best of my (our) knowledge and belief (and/or) based upon information provided by RICHARD B. LOVE of GENENTECH, INC.
 —OR—

☐ II. (For Self-Employed Inventors) That I (we) made and conceived this invention on my (our) own time using only my (our) own facilities, equipment, materials, funds, information and services. Other relevant facts are _____

The undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Inventor's Signature: Zahra Shahrokh

Post Office Address: 24 Sotelo Ave. San Francisco, CA 94116

Date: 11/18/00

Inventor's Signature: Zahra Shahrokh

Post Office Address: _____

Date: _____

✓
LS

In re Application of: Vanessa Hise et al.
Serial No. 09/234,182
Filed On: 20 January 1999
Mailed On: 2 December 2000

Docket No. P1085R4-1A
By: Richard B. Love
Reg. No. 34,659

The following has been received in the U.S. Patent Office on the date stamped

☒ PTOL-456 (copy)
☒ Response
☒ Acceptable Property Rights Statement (Executed statement from each inventor)
☒ postcard

In re Application of: Vanessa Hise et al.
Serial No. 09/234,182
Filed On: 20 January 1999
Mailed On: 2 December 2000

Docket No. P1085R4-1A
By: Richard B. Love
Reg. No. 34,659

The following has been received in the U.S. Patent Office on the date stamped

☒ PTOL-456 (copy)
☒ Response
☒ Acceptable Property Rights Statement (Executed statement from each inventor)
☒ postcard



RBL/EC

JAN 23 2001



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SERIAL NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.
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097234.182	01/20/99	HSEI	V P1035R4-1A
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EXAMINER

PM51/0116

DIERING, M

RICHARD B LOVE

GENENTECH INC

1 DNA WAY

SOUTH SAN FRANCISCO CA 94080-4990

ART UNIT

PAPER NO

1644

DATE MAILED:

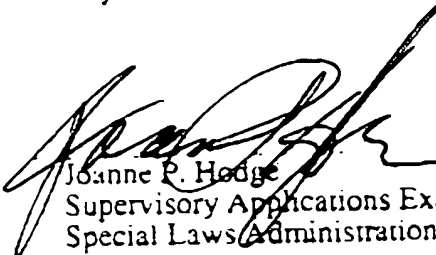
01/18/01

REQUIREMENT FOR STATEMENT UNDER §152 OF THE ATOMIC ENERGY ACT

The subject matter of this application is considered "useful in the production or utilization of special nuclear material or atomic energy."

No patent for any invention "useful in the production or utilization of special nuclear material or atomic energy" may issue unless the applicant files a statement **WITHIN THIRTY DAYS** from request thereof by the Commissioner of Patents & Trademarks setting forth the full facts surrounding the making or conception of the invention described in the application and whether the invention or discovery was made or conceived in the course of or under any contract, subcontract, or arrangement entered into with or for the benefit of the Energy Research and Development Administration or the Department of Energy as required under section 152 of the Atomic Energy Act of 1954, 42 USC §2182.

Applicant is hereby given a period of **THIRTY DAYS** from the mailing date of this letter to file the required statement under 42 USC §2182. Failure to submit the required statement within the thirty day period will result in **ABANDONMENT** of the application. The thirty day period is fixed by §2182 of the Act and cannot be extended. Thus, no extension of this period may be obtained under either 37 CFR §1.136(a) or (b).


Joanne P. Hodge
Supervisory Applications Examiner
Special Laws Administration

CALENDARED/CK

15 Feb 01

DUE DATE

Please direct all written communications regarding this matter to:
The Commissioner of Patents & Trademarks
Washington, D.C. 20231
Attention: Licensing & Review

Please direct all telephone calls regarding this matter to:

Joyce Brown, 703-306-3350 (703) 306-4191

703-306-4196

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I (We) _____
citizens of _____
residing at _____
declare:

That I (we) made and conceived the invention described and claimed in patent application:

Serial Number _____ filed in the United States of America on _____
titled _____

(Check and complete either I or II below)

(Check III and/or IV below as appropriate)

☐ I. (For Inventors Employed by an Organization) That I (we) made and conceived this invention while employed by _____. That the invention is related to the work I am (we are) employed to perform and was made within the scope of my (our) employment duties; That the invention was made during working hours and with the use of facilities, equipment, materials, funds, information and services of _____. Other relevant facts are _____.

That to the best of my (our) knowledge and belief (and/or) based upon information provided by _____ of _____.

—OR—

☐ II. (For Self-Employed Inventors) That I (we) made and conceived this invention on my (our) own time using only my (our) own facilities, equipment, materials, funds, information and services. Other relevant facts are _____.

That to the best of my (our) knowledge and belief:

☐ III. The invention was not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy.

—AND/OR—

☐ IV. The invention was not made (conceived or first actually reduced to practice) under nor is there any relationship of the invention to the performance of any work under any contract of the National Aeronautics and Space Administration.

The undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Inventor's Signature: _____

Post Office Address: _____

Date: _____

Inventor's Signature: _____

Post Office Address: _____

Date: _____

UnOfficial Communication - GENENTECH, INC.

1 DNA Way, South San Francisco, CA 94080-4990 Tel: 650-225-1832 Fax: 650-952-9881

FAX TRANSMISSION COVER SHEET

Date: February 2, 2001

To: Joyce Brown

US PTO

Fax: (703)306-4196

Re: U.S. Ser. No 09/234,182 filed 20 JAN 1999 (Attorney Docket No.: P1085R4-1a)

Sender: Yvonne Carter

YOU SHOULD RECEIVE 9 PAGES, INCLUDING THIS COVER SHEET. IF YOU DO NOT RECEIVE ALL THE PAGES,
PLEASE CALL 650-225-1832

CONFIDENTIALITY NOTE

The document(s) accompanying this fax is/are the communication(s) from GENENTECH, INC. which is confidential or privileged. This information is intended only for the individual or entity named on this communication sheet. If you are not the intended recipient, be aware that any disclosure, copying, distribution, or use of the contents of this faxed communication is strictly prohibited. If you have received this faxed communication in error, please notify us by telephone immediately so that we can arrange for the return of the original document(s) to us and the retransmission of them to the intended recipient.

oyce:

Per our conversation re the above matter, I am forwarding documentation including postcard of the Requirement for Statement Under §152 of the Atomic Energy Act for your consideration. Will you please take this matter off your docket of 16 February 2001. Thank you. Yvonne Carter

Ms. Carter:

Per our conversation this morning regarding 09/234,182. The faxed Property Rights response received on 2/2/01 is OK and the application is being forwarded to the Technology Center. This response satisfies the Commissioner Letter requirement due on 2/15/01.

Thank You,
Peter
K&K